

Nov. 8. 2006 5:08PM

DUGAN & DUGAN P.C.

RECEIVED
CENTRAL FAX CENTER

No. 0596 P. 1

NOV 08 2006

VALERIE G. DUGAN
BRIAN M. DUGAN, Ph.D.

DUGAN & DUGAN, PC
PATENTS, TRADEMARKS & COPYRIGHTS
55 SOUTH BROADWAY
TARRYTOWN, NY 10591

(914)332-9081 TELEPHONE
(914)332-9082 FACSIMILE
DUGANEMAIL@DUGANPATENT.COM

FACSIMILE COVER SHEET

Date: November 8, 2006

PLEASE DELIVER THE ATTACHED MESSAGE TO:

Examiner: Charles R. Kasenge Phone No.: (571) 272-3743
Fax No.: (571) 273-8300

From: Brian M. Dugan, Registration No. 41,720

Our File No.: Docket No. 8201/Y02/SYNX/SYNX/JW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Michael R. Rice et al.
Serial No. : 10/764,620
Filed : January 26, 2004
For : SYSTEMS AND METHODS FOR TRANSFERRING
SMALL LOT SIZE SUBSTRATE CARRIERS
BETWEEN PROCESSING TOOLS
Examiner : Charles R. Kasenge
Group Art Unit : 2125

TOTAL NUMBER OF PAGES INCLUDING THIS PAGE: 5

* * *

THIS FACSIMILE IS INTENDED ONLY FOR THE USE OF THE
ADDRESSEE. THE CONTENT OF THIS FACSIMILE IS PRIVILEGED
AND CONFIDENTIAL. IF YOU HAVE RECEIVED THIS FACSIMILE
IN ERROR, OR IF YOU HAVE NOT RECEIVED LEGIBLE COPIES OF
ALL PAGES, PLEASE NOTIFY US BY TELEPHONE IMMEDIATELY.

* * *

NOV 08 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Inventor(s): Michael R. Rice, Eric A. Enghardt, Vinay Shah, Martin R. Elliott, Robert B. Lowrance and
Jeffrey C. Hudgens
Title: SYSTEMS AND METHODS FOR TRANSFERRING SMALL LOT SIZE SUBSTRATE CARRIERS
BETWEEN PROCESSING TOOLS
Serial No.: 10/764,620
Filed: January 26, 2004
Examiner: Charles R. Kasenge
Group Art Unit: 2125

Transmitted herewith is:

- ☒ PTO Form 1449; and
☒ Information Disclosure Statement with two cited references.

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$50.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$200.00	\$0.00
Basic Filing Fee (\$300.00), Utility Search Fee (\$500.00), Utility Examination Fee (\$200.00)				\$1000.00	\$0.00
TOTAL FEES					PAID

The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

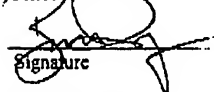
☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

☒ Please address all future correspondence to: Customer # 41161
Dugan & Dugan, PC
55 South Broadway
Tarrytown, NY 10591

Certificate of Mailing/Transmission (37 C.F.R. Section 1.8(a))
I hereby certify that, on the date shown below, this correspondence is being:

☒ transmitted by facsimile to the U.S. Patent and Trademark Office

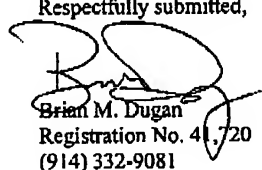
Office



Brian M. Dugan
(name of person certifying)

November 8, 2006
Date

Respectfully submitted,



Brian M. Dugan
Registration No. 41,720
(914) 332-9081

Nov. 8. 2006 5:09PM

DUGAN & DUGAN P.C.

RECEIVED
CENTRAL FAX CENTER

No. 0596 P. 3

NOV 08 2006

PATENTS
8201/Y02/SYNX/SYNX/JW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael R. Rice et al.
Serial No. : 10/764,620
Filed : January 26, 2004
For : SYSTEMS AND METHODS FOR TRANSFERRING SMALL
LOT SIZE SUBSTRATE CARRIERS BETWEEN
PROCESSING TOOLS
Group Art Unit : 2125
Customer No. : 41161
Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

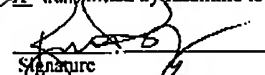
INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following references:

U.S. Patent No. 6,579,052 B1, Bonora et al.

Certificate of Mailing/Transmission (37 C.F.R. Section 1.8(u))
I hereby certify that, on the date shown below, this correspondence is being:
☒ transmitted by facsimile to the U.S. Patent and Trademark Office



Signature

November 8, 2006

Date

Brian M. Dugan
(name of person certifying)

U.S. Patent No. 5,980,183, Fosnight

These references are also listed on the
accompanying Information Disclosure Statement (Form PTO-1449).

Consideration of the foregoing in relation to this
patent application is respectfully requested.

Respectfully Submitted,



Brian M. Dugan, Esq.
Registration No. 41,720
Dugan & Dugan, PC
Attorneys for Applicants
(914)332-9081

Dated: November 8, 2006
Tarrytown, New York

Sheet 1 of 1 sheets

U.S. Department of Commerce, Patent and Trademark Office				Docket No.: 8201/Y02/SYNX/SYNX/JW		Serial No.: 10/764,620		
LIST OF RELEVANT ART CITED BY APPLICANT (Use several sheets if necessary)				Applicants: Michael R. Rice et al.				
				Filing Date: January 26, 2004		Group: 2125		
U.S. Patent Documents								
*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate	
	US-1	6,579,052 B1	06/17/03	Bonora et al.				
	US-2	5,980,183	11/09/99	Fosnight				
	US-3							
	US-4							
	US-5							
	US-6							
	US-7							
	US-8							
	US-9							
	US-10							
	US-11							
Foreign Patent Documents							Translation	
		Document Number	Date	Country	Class	Subclass	Yes	No
	F-1							
	F-2							
	F-3							
	F-4							
	F-5							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	OT-1							
	OT-2							
	OT-3							
Examiner			Date Considered					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								

C:\WP51\FORMS\1449.PTO 1/94